

# Transfer of Shares to IEPF Demat Account

## **Transfer of Shares to Investor Education and Protection Fund (IEPF) Demat Account of the Central Government**

Pursuant to the Section 124(6) of the Companies Act, 2013, read with Investor Education and Protection Fund Authority (Accounting, Audit, Transfer and Refund) Rules, 2016 ('the Rules'), all unpaid or unclaimed dividends are required to be transferred by the Company to the IEPF established by the Central Government, after the completion of seven years. Further, according to the Rules, the shares in respect of which dividend has not been paid or claimed by the shareholders for seven consecutive years or more shall also be transferred to the demat account created by the IEPF Authority in accordance with the Rules

[Newspaper Publication – IEPF Reminder to Shareholders](#)

[Newspaper Publication – IEPF Reminder to Shareholders](#)

[Shares and dividend liable to be transferred - Base year 2015-16](#)

[Shares and dividend liable to be transferred at that point of time– Base Year 2014-15](#)

[Shares and dividend liable to be transferred at that point of time– Base Year 2013-14](#)

[Shares and dividend liable to be transferred at that point of time – Base Year 2012-13](#)

[Newspaper Publication - July 9, 2020 - IEPF Reminder Notice](#)

[Newspaper Publication - May 25, 2019 - IEPF Reminder Notice](#)

[List of unpaid data from the year 2011-12 to 2017-18](#)

[Procedure for claiming shares or dividend from IEPF Authority](#)

[Newspaper Publication - May 25, 2018 - IEPF Reminder Notice](#)

[Newspaper Publication- April 18, 2017](#)

[Newspaper Publication](#)

## **DETAILS OF EQUITY SHARES TRANSFERRED TO IEPF DEMAT ACCOUNT:**

[2009-10 to 2015-16 – Shares Transferred to IEPF on November 23, 2017](#)

The details of Nodal officer of the Company for coordination with the IEPF authorities are:

Chandni Maru

Company Secretary & Compliance Officer  
Novartis India Limited

Inspire BKC, 701  
Bandra Kurla Complex

Bandra (East)  
Mumbai – 400 051  
Phone: +91 22 5024 3000  
Fax: +91 22 5024 3010  
Email: [india.investors@novartis.com](mailto:india.investors@novartis.com)

### **Application to IEPF Authority for claiming unpaid dividend and shares transferred to IEPF**

Shareholders may note that the shares/dividend transferred to IEPF can be claimed by making an application to the Authority in Form IEPF 5 (to be filed online) at the following link:

<http://www.iepf.gov.in/IEPFA/corporates.html>

*(Appropriate form to be used by shareholders/claimants whose shares and/or dividend have been transferred by the Company to Investor Education and Protection Fund)*

### **Address of IEPF authority for communication:**

Mr. Aftab Alam,  
Assistant General Manager  
Investor Education and Protection Fund Authority,  
Ministry of Corporate Affairs,  
Ground Floor, Jeewan Vihar Building,  
3, Parliament Street, New Delhi – 110001.

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