



**Novartis India Limited**  
Registered Office:  
Inspire BKC  
Part of 601 & 701  
Bandra Kurla Complex  
Bandra (East)  
Mumbai – 400 051  
Maharashtra, India  
Tel +91 22 50243000  
Fax +91 22 50243010  
Email: india.investors@novartis.com  
CIN No. L24200MH1947PLC006104  
Website: www.novartis.in

January 28, 2021

The Secretary  
**BSE Limited**  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai - 400 001

**Sub.: Reconciliation of Share Capital Audit Report obtained by Novartis India Limited (“the Company”) for the quarter ended on December 31, 2020**

**Ref.: 1. Regulation 76 of the Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018 (“SEBI Depositories Regulations”)  
2. Scrip Codes: BSE - 500672**

Dear Sir/ Madam,

Please note that in terms of the applicable provisions of the SEBI Depositories Regulations, the Company has obtained the Reconciliation of Share Capital Audit Report for the quarter ended on December 31, 2020 from Saraf & Associates, Company Secretaries and the same is enclosed herewith as **Annexure A**.

This is for your information and records.

Thanking you,

Yours faithfully,

For **Novartis India Limited**

**Trivikram Guda**  
**Company Secretary &**  
**Compliance Officer**

Encl.: as above

# SARAF & ASSOCIATES

## COMPANY SECRETARIES

www.sarafandassociates.com

423, Hind Rajasthan Building, 95, Dadasaheb Phalke Road, Dadar (C.Rly.), Mumbai - 400 014.

☎ (022) 2413 0371 / 2415 3887 ☎ +91 98203 20072 ✉ kamalax\_saraf@hotmail.com

### RECONCILIATION OF SHARE CAPITAL

1. For Quarter Ended : 31<sup>st</sup> December, 2020
2. ISIN : INE234A01025
3. Face Value : Re.5/-
4. Name of the Company : Novartis India Limited
5. CIN : L24200MH1947PLC006104
6. Registered Office Address : Inspire - BKC, Part of 601 and 701, Bandra Kurla Complex, Bandra East, Mumbai Mumbai City MH 400051.
7. Correspondence Address : Same as above
8. Telephone & Fax No. : (T)+91 22 50243000, (F) + 91 22 50243010
9. Email Address : india.investors@novartis.com
10. Name of the Stock Exchange : Bombay Stock Exchange (BSE)  
where the Company's securities  
are listed

		<b>No. of Shares</b>	<b>% of Total Issued Capital</b>
11.	Issued Capital	<b>24690797</b>	
12.	Listed Capital (Exchange wise) BSE/NSE (As per Company Records)	24690797	100.00
13.	Held in Dematerialised form in CDSL	1602269	6.49
14.	Held in Dematerialised form in NSDL	22673185	91.83
15.	Physical	415343	1.68
16.	Total No. of Shares (13 + 14 + 15)	<b>24690797</b>	100.00
17.	Reasons for difference if any Between (11&12) (11 & 16) (12 & 16)	<b>Not Applicable</b>	

## RECONCILIATION OF SHARE CAPITAL AUDIT

18. Certifying the details of change in share capital during the quarter under consideration as per Table below:

Particulars ***	No of shares	Applied / Not Applied for Listing	Listed on Stock Exchange (Specify Name)	Whether Intimated to CDSL	Whether Intimated to NSDL	In Principle Appl. Pending for SE (Specify Names)
NIL						

\*\*\* Rights, Bonus, Preferential Issues, ESOP's, Amalgamation, Conversion, Buyback, Capital Reduction, Forfeiture, Any other (to Specify)

19. Register of Members is updated (Yes/No) : Yes

20. Reference of previous quarter with regards to excess dematerialized shares if any : Nil

21. Has the company resolved the matter mentioned in point no.20 above in the current quarter? if not, reason why? :N.A.

22. Mention the total no. of requests, if any, Confirmed after 21 days and the total No. of requests pending beyond 21 days with the Reasons for delay \* :

Total No. of Demat Requests	No of Requests	No of Shares	Reasons for delay
Confirmed after 21 days	Nil	Nil	N.A.
Pending for more than 21 days	Nil	Nil	N.A.

23. Name, Telephone & Fax no. of the Compliance Officer of the Company : Mr. Trivikram Guda (A17685)  
Company Secretary and Compliance Officer  
Tel : +91 22 50243000  
Fax: +91 22 50243010

24. Name, Address, Tel, and Fax no. Regn. No. of the Auditor : K.G. SARAF  
SARAF & ASSOCIATES  
Practising Company Secretaries  
423,Hind Rajasthan Bldg.  
95, D.S.P. Road, Dadar (East),  
Mumbai – 400014.  
Tel.No.24130371/24153887  
Membership No : F1596 : CP No.642.

## RECONCILIATION OF SHARE CAPITAL AUDIT

25. Appointment of Common Agency for Share Registry Work : LINK INTIME INDIA PVT. LTD  
C-101, 247 Park,  
L.B.S. Marg, Vikhroli (W),  
Mumbai – 400083.
26. Any other details that the Auditor may like to provide (e.g. BIFR Company, delisting from SE, Company changed its name etc.) : NO

**Place : Mumbai**

**Date : 28/01/2021**

**UDIN : F001596B002301168**

**For SARAF & ASSOCIATES**

**KAMALAX  
GANAPAYYA SARAF**

Digitally signed by KAMALAX GANAPAYYA SARAF  
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serialNumber=c2955282251222cc11585de92a2295f1b7ac899c7  
c1e46404788fd514ba3, cn=KAMALAX GANAPAYYA SARAF  
Date: 2021.01.28 13:27:48 +05'30'

**K.G. SARAF  
Practising Company Secretary  
FCS: 1596 CP: 642  
FRN. S1988MH004801  
PR. 1003/2020**