

General information about company	
Scrip code	500672
NSE Symbol	
MSEI Symbol	
ISIN	INE234A01025
Name of the company	Novartis India Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-09-2021
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

Declaration					
Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

Table I - Summary Statement holding of specified securities											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	1	17450680			17450680	70.68	17450680		17450680	70.68
(B)	Public	45140	7240117			7240117	29.32	7240117		7240117	29.32
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	45141	24690797			24690797	100	24690797		24690797	100

Table I - Summary Statement holding of specified securities										
Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				70.68					17450680
(B)	Public				29.32					6834697
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					24285377

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(2)	Foreign										
(e)	Any Other (specify)	1	17450680			17450680	70.68	17450680		17450680	70.68
Sub-Total (A)(2)		1	17450680			17450680	70.68	17450680		17450680	70.68
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)		1	17450680			17450680	70.68	17450680		17450680	70.68
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	5	760			760	0	760		760	0
(e)	Foreign Portfolio Investors	8	8093			8093	0.03	8093		8093	0.03
(f)	Financial Institutions/ Banks	16	2686			2686	0.01	2686		2686	0.01
(g)	Insurance Companies	1	188833			188833	0.76	188833		188833	0.76
Sub-Total (B)(1)		30	200372			200372	0.81	200372		200372	0.81
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	42873	5474401			5474401	22.17	5474401		5474401	22.17
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	1	227337			227337	0.92	227337		227337	0.92
(b)	NBFCs registered with RBI	1	2250			2250	0.01	2250		2250	0.01
(e)	Any Other (specify)	2235	1335757			1335757	5.41	1335757		1335757	5.41
Sub-Total (B)(3)		45110	7039745			7039745	28.51	7039745		7039745	28.51
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		45140	7240117			7240117	29.32	7240117		7240117	29.32
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		45141	24690797			24690797	100	24690797		24690797	100
Total (A+B+C)		45141	24690797			24690797	100	24690797		24690797	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(2)	Foreign								
(e)				70.68					17450680
Sub-Total (A) (2)				70.68					17450680
Total Shareholding of Promoter and Promoter Group (A)=(A) (1)+(A)(2)				70.68					17450680
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				0					200
(e)				0.03					8093
(f)				0.01					1957
(g)				0.76					188833
Sub-Total (B) (1)				0.81					199083
(3)	Non-institutions								
(a(i))				22.17					5073116
(a(ii))				0.92					227337
(b)				0.01					2250
(e)				5.41					1332911
Sub-Total (B) (3)				28.51					6635614
Total Public Shareholding (B)=(B)(1)+(B) (2)+(B)(3)				29.32					6834697
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					24285377
Total (A+B+C)				100					24285377

Any Other (specify)		
Serial No.	1	
Category	Bodies Corporate	
Name of the Shareholders (I)	Novartis AG	Click here to go back
PAN (II)	AAACN4932F	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	17450680	17450680
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	17450680	17450680
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	70.68	70.68
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	17450680	17450680
Class eg:y		
Total	17450680	17450680
Total as a % of Total Voting rights	70.68	70.68
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	70.68	70.68
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		0
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		0
Number of equity shares held in dematerialized form (XIV)	17450680	17450680

Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter	

Any Other (specify)							
Serial No.	1	2	3	4	5	6	7
Category	IEPF	Trusts	Foreign Nationals	HUF	Non-Resident Indian (NRI)	Clearing Members	Bodies Corporate
Category / More than 1 percentage	Category	Category	Category	Category	Category	Category	Category
Name of the Shareholders (I)							
PAN (II)							
No. of the Shareholders (I)	1	4	1	1197	688	44	281
No. of fully paid up equity shares held (IV)	116154	1500	54	310551	253033	7870	559241
No. Of Partly paid-up equity shares held (V)							
No. Of shares underlying Depository Receipts (VI)							
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	116154	1500	54	310551	253033	7870	559241
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.47	0.01	0	1.26	1.02	0.03	2.26
Number of Voting Rights held in each class of securities (IX)							
Class eg: X	116154	1500	54	310551	253033	7870	559241
Class eg:y							
Total	116154	1500	54	310551	253033	7870	559241
Total as a % of Total Voting rights	0.47	0.01	0	1.26	1.02	0.03	2.26
No. Of Shares Underlying Outstanding convertible securities (X)							
No. of Shares Underlying Outstanding Warrants (Xi)							
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)							
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	0.47	0.01	0	1.26	1.02	0.03	2.26
Number of Locked in shares (XII)							
No. (a)							
As a % of total Shares held (b)							
Number of equity shares held in dematerialized form (XIV)	116154	1500	54	310539	250239	7870	559201
Reason for not providing PAN							

Reason for not providing PAN							
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Any Other (specify)			
Serial No.	8	9	
Category	Bodies Corporate	Others	
Category / More than 1 percentage	More than 1 percentage of shareholding	Category	
Name of the Shareholders (I)	Atul Limited	LLP, Partnership Firm, Directors' Relative	Click here to go back
PAN (II)	AABCA2390M		Total
No. of the Shareholders (I)	1	19	2235
No. of fully paid up equity shares held (IV)	374627	87354	1335757
No. Of Partly paid-up equity shares held (V)			
No. Of shares underlying Depository Receipts (VI)			
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	374627	87354	1335757
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.52	0.35	5.4
Number of Voting Rights held in each class of securities (IX)			
Class eg: X	374627	87354	1335757
Class eg:y			
Total	374627	87354	1335757
Total as a % of Total Voting rights	1.52	0.35	5.4
No. Of Shares Underlying Outstanding convertible securities (X)			
No. of Shares Underlying Outstanding Warrants (Xi)			
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)			
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII) +(X) As a % of (A+B+C2)	1.52	0.35	5.4
Number of Locked in shares (XII)			
No. (a)			
As a % of total Shares held (b)			
Number of equity shares held in dematerialized form (XIV)	374627	87354	1332911
Reason for not providing PAN			
Reason for not providing PAN			

Sr. No. Details of the SBO Details of the registered owner Details of holding/ exercise of right of the SBO in the reporting company, whether direct or indirect*: Date of creation / acquisition of significant beneficial interest Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Name PAN Passport No. in case of a foreign national Nationality Nationality (Applicable in case of Any other is selected) Whether by virtue of: Shares Voting rights Rights on distributable dividend or any other distribution Exercise of control Exercise of significant influence