



**Novartis India Limited**  
Registered Office:  
Inspire BKC  
Part of 601 & 701  
Bandra Kurla Complex  
Bandra (East)  
Mumbai – 400 051  
Maharashtra, India  
Tel +91 22 50243000  
Fax +91 22 50243010  
Email: india.investors@novartis.com  
CIN No. L24200MH1947PLC006104  
Website: www.novartis.in

January 20, 2021

The Secretary  
BSE Limited,  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai - 400 001

**Sub.: Statement of Investor Complaints/Grievances for the quarter ended December 31, 2020 with respect to Equity Shares issued by Novartis India Limited (“the Company”)**

**Ref.: 1. Regulation 13(3) of the Securities and Exchange Board of India (Listing Obligations and Disclosures Requirements) Regulations, 2015**  
**2. Scrip Code - 500672**

Dear Sir/ Madam,

Statement of Investor Complaints for the quarter ended December 31, 2020, with respect to the Equity Shares of the Company, prepared on the basis of the report dated January 19, 2021 obtained from the Registrar and Transfer Agent of the Company i.e. Link Intime India Private Limited (enclosed as **Annexure 1**) is as under:

<b>Particulars</b>	<b>Number of Complaints</b>
Complaints pending at the beginning of the quarter (i.e. as on October 1, 2020)	0
Complaints received during the quarter (i.e. October 1, 2020 to December 31, 2020)	0
Complaints disposed of during the quarter (i.e. October 1, 2020 to December 31, 2020)	0
Complaints remaining unresolved at the end of the quarter (i.e. as on December 31, 2020)	0

This is for your information and record.

Thanking you.

Yours sincerely,

For **Novartis India Limited**

Sd/-

**Trivikram Guda Company**  
**Secretary & Compliance**  
**Officer**

Encl.: as above

19<sup>th</sup> January, 2021

TO,  
THE COMPANY SECRETARY  
**NOVARTIS INDIA LTD.**  
INSPIRE BKC, 6TH AND 7TH FLOOR,  
BANDRA KURLA COMPLEX,  
BANDRA (EAST),  
MUMBAI - 400051

Sub: Statement of Investor Complaints, Regulation 13(3) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Dear Sir / Madam,

This is to certify that we have not received any complaint for the period 1st October 2020 to 31st December 2020.

Sr. no.	Particulars	No.of Complaints
1	Complaints pending prior to 30.09.2020	0
2	Complaint received during the quarter	0
3	Complaint resolved during the quarter	0
4	Complaint pending at the end of quarter	0

This is for your information.

Yours faithfully,  
For **Link Intime India Pvt Ltd.**

  
Authorised Signatory