



Novartis India Limited
Registered Office:
Inspire BKC
Part of 601 & 701
Bandra Kurla Complex
Bandra (East)
Mumbai – 400 051
Maharashtra, India
Tel +91 22 50243000
Fax +91 22 50243010
Email: india.investors@novartis.com
CIN No. L24200MH1947PLC006104
Website: www.novartis.in

January 20, 2022

To,
The Secretary
BSE Limited
Phiroze Jeejeebhoy Towers,
Dalal Street,
Mumbai - 400 001

Sub.: Reconciliation of Share Capital Audit Report obtained by Novartis India Limited (“the Company”) for the quarter ended on December 31, 2021

**Ref.: 1. Regulation 76 of the Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018 (“the SEBI Depositories Regulations”)
2. Scrip Codes: BSE - 500672**

Dear Sir/ Madam,

Please note that in terms of the applicable provisions of the SEBI Depositories Regulations, the Company has obtained the Reconciliation of Share Capital Audit Report for the quarter ended on December 31, 2021 from Saraf & Associates, Company Secretaries and the same is enclosed.

This is for your information and records.

Thanking you,

Yours faithfully,

For Novartis India Limited

Noble
Monaz

Digitally signed by Noble Monaz
DN: c=India, o=Novartis
ou=people, ou=NB,
serialNumber=1235335,
cn=Noble Monaz
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document
Date: 2022.01.20 15:58:10 +05'30'

**Monaz Noble
Non-Executive Director**

Encl.: as above

SARAF & ASSOCIATES

COMPANY SECRETARIES

www.sarafandassociates.com

423, Hind Rajasthan Building, 95, Dadasaheb Phalke Road, Dadar (C.Rly.), Mumbai - 400 014.

☎ (022) 2413 0371 / 2415 3887 ☎ +91 98203 20072 ✉ kamalax_saraf@hotmail.com

RECONCILIATION OF SHARE CAPITAL

1. For Quarter Ended : 31st December, 2021
2. ISIN : INE234A01025
3. Face Value : Re.5/-
4. Name of the Company : Novartis India Limited
5. CIN : L24200MH1947PLC006104
6. Registered Office Address : Inspire - BKC, Part of 601 and 701, Bandra Kurla Complex, Bandra East, Mumbai Mumbai City MH 400051.
7. Correspondence Address : Same as above
8. Telephone & Fax No. : (T)+91 22 50243000, (F) + 91 22 50243010
9. Email Address : india.investors@novartis.com
10. Name of the Stock Exchange where the Company's securities are listed : Bombay Stock Exchange (BSE)

		No. of Shares	% of Total Issued Capital
11.	Issued Capital	24690797	
12.	Listed Capital (Exchange wise) BSE/NSE (As per Company Records)	24690797	100.00
13.	Held in Dematerialised form in CDSL	1957374	7.93
14.	Held in Dematerialised form in NSDL	22363307	90.57
15.	Physical	370116	1.50
16.	Total No. of Shares (13 + 14 + 15)	24690797	100.00
17.	Reasons for difference if any Between (11&12) (11 & 16) (12 & 16)	Not Applicable	

RECONCILIATION OF SHARE CAPITAL AUDIT

18. Certifying the details of change in share capital during the quarter under consideration as per Table below:

Particulars ***	No of shares	Applied / Not Applied for Listing	Listed on Stock Exchange (Specify Name)	Whether Intimated to CDSL	Whether Intimated to NSDL	In Principle Appl. Pending for SE (Specify Names)
NIL						

*** Rights, Bonus, Preferential Issues, ESOP's, Amalgamation, Conversion, Buyback, Capital Reduction, Forfeiture, Any other (to Specify)

19. Register of Members is updated (Yes/No) : Yes

20. Reference of previous quarter with regards to excess dematerialized shares if any : Nil

21. Has the company resolved the matter mentioned in point no.20 above in the current quarter? if not, reason why? :N.A.

22. Mention the total no. of requests, if any, Confirmed after 21 days and the total No. of requests pending beyond 21 days with the Reasons for delay * :

Total No. of Demat Requests	No of Requests	No of Shares	Reasons for delay
Confirmed after 21 days	Nil	Nil	N.A.
Pending for more than 21 days	Nil	Nil	N.A.

23. Name, Telephone & Fax no. of the Compliance Officer of the Company. : The Company has not appointed a Compliance officer.
Mr. Trivikram Guda (A17685)
Company Secretary and Compliance Officer
resigned with effect form 01.12.2021

24. Name, Address, Tel, and Fax no. Regn. No. of the Auditor : K.G. SARAF
SARAF & ASSOCIATES
Practising Company Secretaries
423,Hind Rajasthan Bldg.
95, D.S.P. Road, Dadar (East),
Mumbai – 400014.
Tel.No.24130371/24153887
Membership No : F1596 : CP No.642.

RECONCILIATION OF SHARE CAPITAL AUDIT

25. Appointment of Common Agency for Share Registry Work : LINK INTIME INDIA PVT. LTD
C-101, 247 Park,
L.B.S. Marg, Vikhroli (W),
Mumbai – 400083.

26. Any other details that the Auditor may like to provide (e.g. BIFR Company, delisting from SE, Company changed its name etc.) :

Place : Mumbai

Date : 20/01/2022

UDIN : F001596C002232429

For SARAF & ASSOCIATES

KAMALAX

GANAPAYYA

SARAF

K.G. SARAF

Practising Company Secretary

FCS: 1596 CP: 642

FRN. S1988MH004801

PR. 1003/2020

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KAMALAX GANAPAYYA
SARAF
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