

**Form No. SH-4**  
**Securities Transfer Form**

*[Pursuant to section 56 of the Companies Act, 2013 and sub-rule (1) of rule 11 of the Companies (Share Capital and Debentures) Rules 2014]*

Date of execution: \_\_\_\_/\_\_\_\_/\_\_\_\_

**FOR THE CONSIDERATION** stated below the "Transferor(s)" named do hereby transfer to the "Transferee(s)" named the securities specified below subject to the conditions on which the said securities are now held by the Transferor(s) and the Transferee(s) do hereby agree to accept and hold the said securities subject to the conditions aforesaid.

CIN: 

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Name of the company (in full): **NOVARTIS INDIA LIMITED**

Name of the Stock Exchange where the company is listed, (if any): **BSE LIMITED**

**DESCRIPTION OF SECURITIES:**

Kind/Class of securities (1)	Nominal value of each unit of security (2)	Amount called up per unit of security (3)	Amount paid up per unit of security (4)
Equity Shares	₹ 5/-	₹ 5/-	₹ 5/-
No. of Securities being Transferred		Consideration received (₹)	
In figures	In words	In words	In figures
<b>Distinctive Number</b>	<b>From</b>		
	<b>To</b>		
<b>Corresponding Certificate Nos.</b>			

**Transferor's Particulars:-**

Registered Folio Number

Name(s) in Full	Signature(s)
1. _____	_____
2. _____	_____
3. _____	_____

I, hereby confirm that the Transferor has signed before me.

Signature of Witness: \_\_\_\_\_

Name and address of Witness: \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Transferee's Particulars		
Name in full (1)	Father's / Mother's / Spouse Name (2)	Address & E-mail id (3)
<b>NOVARTIS INDIA LIMITED</b>		<b>Registered address:</b> Sandoz House, Shivsagar Estate, Dr Annie Besant Road, Worli, Mumbai – 400018. Email Id : india.investors@novartis.com
Occupation (4)	Existing Folio No., if any (5)	Signature (6)
<b>BUSINESS</b>		

**Folio No. of Transferee**

\_\_\_\_\_

**Specimen Signature of Transferee(s)**

1. \_\_\_\_\_

2. \_\_\_\_\_

3. \_\_\_\_\_

Value of stamp affixed: ₹ \_\_\_\_\_

Enclosures:

1. Certificate of shares or debentures or other securities
2. If no certificate is issued, letter of allotment
3. Copy of PAN Card of all the Transferor(s) and Transferees (For all listed Cos.)
4. Others, Specify, \_\_\_\_\_

**STAMPS**

**For Office Use Only**

Checked by \_\_\_\_\_

Signature tallied by \_\_\_\_\_

Entered in the Register of Transfer on \_\_\_\_\_  
\_\_\_\_\_ vide Transfer No. \_\_\_\_\_

Approval Date \_\_\_\_\_

Power of attorney / Probate / Death Certificate / Letter of Administration

Registered on \_\_\_\_\_ at  
No. \_\_\_\_\_

**On the reverse page of the certificate**

Name of the Transferor

Name of the Transferee

No. of shares

Date of Transfer

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

\_\_\_\_\_

**Signature of the authorized signatory**