



**Novartis India Limited**  
Registered Office:  
Inspire BKC  
Part of 601 & 701  
Bandra Kurla Complex  
Bandra (East)  
Mumbai – 400 051  
Maharashtra, India  
Tel +91 22 50243000  
Fax +91 22 50243010  
Email: india.investors@novartis.com  
CIN No. L24200MH1947PLC006104  
Website: www.novartis.in

January 19, 2023

To,  
The Secretary  
BSE Limited  
Phiroze Jeejeebhoy Towers,  
Dalal Street,  
Mumbai - 400 001

Scrip Code: 500 672

Dear Sir/ Madam,

**Sub.: Reconciliation of Share Capital Audit Report for the quarter ended December 31, 2022 under Regulation 76 of the Securities and Exchange Board of India (Depositories and Participants) Regulations, 2018 ('Regulations')**

Pursuant to Regulation 76 of the Regulations, please find enclosed herewith the Reconciliation of Share Capital Audit Report for the quarter ended December 31, 2022 obtained from Saraf & Associates, Company Secretaries.

This is for your information and records.

Thanking you,

Yours faithfully,

For **Novartis India Limited**

 Digitally signed by Malpani Nikhil  
DN: dc=com, dc=novartis, ou=people, ou=GR,  
serialNumber=3079258, cn=Malpani Nikhil  
Date: 2023.01.19 17:05:33 +05'30'

**Nikhil Malpani**  
**Company Secretary and Compliance Officer**

Encl.: as above

# SARAF & ASSOCIATES

## COMPANY SECRETARIES

www.sarafandassociates.com

423, Hind Rajasthan Building, 95, Dadasaheb Phalke Road, Dadar (C.Rly.), Mumbai - 400 014.

☎ (022) 2413 0371 / 2415 3887 ☎ +91 98203 20072 ✉ kamalax\_saraf@hotmail.com

### RECONCILIATION OF SHARE CAPITAL

1. For Quarter Ended : 31<sup>st</sup> December, 2022
2. ISIN : INE234A01025
3. Face Value : Rs.5/-
4. Name of the Company : Novartis India Limited
5. CIN : L24200MH1947PLC006104
6. Registered Office Address : Inspire - BKC, Part of 601 and 701, Bandra Kurla Complex, Bandra East, Mumbai Mumbai City MH 400051.
7. Correspondence Address : Same as above
8. Telephone & Fax No. : (T)+91 22 50243000, (F) + 91 22 50243010
9. Email Address : india.investors@novartis.com
10. Name of the Stock Exchange where the Company's securities are listed : Bombay Stock Exchange (BSE)

		No. of Shares	% of Total Issued Capital
11.	Issued Capital	<b>24690797</b>	
12.	Listed Capital (Exchange wise) BSE/NSE (As per Company Records)	24690797	100.00
13.	Held in Dematerialised form in CDSL	2030653	8.23
14.	Held in Dematerialised form in NSDL	22330994	90.44
15.	Physical	329150	1.33
16.	Total No. of Shares (13 + 14 + 15)	<b>24690797</b>	<b>100.00</b>
17.	Reasons for difference if any Between (11&12) (11 & 16) (12 & 16)	<b>Not Applicable</b>	

## RECONCILIATION OF SHARE CAPITAL AUDIT

18. Certifying the details of change in share capital during the quarter under consideration as per Table below:

Particulars ***	No of shares	Applied / Not Applied for Listing	Listed on Stock Exchange (Specify Name)	Whether Intimated to CDSL	Whether Intimated to NSDL	In Principle Appl. Pending for SE (Specify Names)
NIL						

\*\*\* Rights, Bonus, Preferential Issues, ESOP's, Amalgamation, Conversion, Buyback, Capital Reduction, Forfeiture, Any other (to Specify)

19. Register of Members is updated (Yes/No) : Yes

20. Reference of previous quarter with regards to excess dematerialized shares if any : Nil

21. Has the company resolved the matter mentioned in point no.20 above in the current quarter? if not, reason why? :N.A.

22. Mention the total no. of requests, if any, Confirmed after 21 days and the total No. of requests pending beyond 21 days with the Reasons for delay \* :

Total No. of Demat Requests	No of Requests	No of Shares	Reasons for delay
Confirmed after 21 days	Nil	Nil	N.A.
Pending for more than 21 days	Nil	Nil	N.A.

23. Name, Telephone & Fax no. of the Compliance Officer of the Company : Mr. Nikhil Malpani (A20869)  
Company Secretary and Compliance Officer  
Tel : +91 22 50243000  
Fax: +91 22 50243010

24. Name, Address, Tel, and Fax no. Regn. No. of the Auditor : K.G. SARAF  
SARAF & ASSOCIATES  
Practising Company Secretaries  
423,Hind Rajasthan Bldg.  
95, D.S.P. Road, Dadar (East),  
Mumbai – 400014.  
Tel.No.24130371/24153887  
Membership No : F1596 : CP No.642.

## RECONCILIATION OF SHARE CAPITAL AUDIT

25. Appointment of Common Agency for Share Registry Work : LINK INTIME INDIA PVT. LTD  
C-101, 247 Park,  
L.B.S. Marg, Vikhroli (W),  
Mumbai – 400083.

26. Any other details that the Auditor may like to provide (e.g. BIFR Company, delisting from SE, Company changed its name etc.) :

**Place : Mumbai**

**Date : 18/01/2023**

**UDIN : F001596D003003188**

**For SARAF & ASSOCIATES**

KAMALAX  
GANAPAYYA  
SARAF

Digitally signed by KAMALAX  
GANAPAYYA SARAF  
Date: 2023.01.18 17:14:37  
+05'30'

**K.G. SARAF**

**Practising Company Secretary**

**FCS: 1596 CP: 642**

**FRN. S1988MH004800**

**PR. 1003/2020**