

# SARAF & ASSOCIATES

## COMPANY SECRETARIES

www.sarafandassociates.com

423, Hind Rajasthan Building, 95, Dadasaheb Phalke Road, Dadar (C.Rly.), Mumbai - 400 014.

☎ (022) 2413 0371 / 2415 3887 ☎ +91 98203 20072 ✉ kamalax\_saraf@hotmail.com

### RECONCILIATION OF SHARE CAPITAL

1. For Quarter Ended : 30<sup>th</sup> September, 2022
2. ISIN : INE234A01025
3. Face Value : Rs.5/-
4. Name of the Company : Novartis India Limited
5. CIN : L24200MH1947PLC006104
6. Registered Office Address : Inspire - BKC, Part of 601 and 701, Bandra Kurla Complex, Bandra East, Mumbai Mumbai City MH 400051.
7. Correspondence Address : Same as above
8. Telephone & Fax No. : (T)+91 22 50243000, (F) + 91 22 50243010
9. Email Address : india.investors@novartis.com
10. Name of the Stock Exchange : Bombay Stock Exchange (BSE)  
where the Company's securities  
are listed

		No. of Shares	% of Total Issued Capital
11.	Issued Capital	<b>24690797</b>	
12.	Listed Capital (Exchange wise) BSE/NSE (As per Company Records)	24690797	100.00
13.	Held in Dematerialised form in CDSL	2022470	8.19
14.	Held in Dematerialised form in NSDL	22316047	90.38
15.	Physical	352280	1.43
16.	Total No. of Shares (13 + 14 + 15)	<b>24690797</b>	<b>100.00</b>
17.	Reasons for difference if any Between (11&12) (11 & 16) (12 & 16)	<b>Not Applicable</b>	

## RECONCILIATION OF SHARE CAPITAL AUDIT

18. Certifying the details of change in share capital during the quarter under consideration as per Table below:

Particulars ***	No of shares	Applied / Not Applied for Listing	Listed on Stock Exchange (Specify Name)	Whether Intimated to CDSL	Whether Intimated to NSDL	In Principle Appl. Pending for SE (Specify Names)
NIL						

\*\*\* Rights, Bonus, Preferential Issues, ESOP's, Amalgamation, Conversion, Buyback, Capital Reduction, Forfeiture, Any other (to Specify)

19. Register of Members is updated (Yes/No) : Yes

20. Reference of previous quarter with regards to excess dematerialized shares if any : Nil

21. Has the company resolved the matter mentioned in point no.20 above in the current quarter? if not, reason why? :N.A.

22. Mention the total no. of requests, if any, Confirmed after 21 days and the total No. of requests pending beyond 21 days with the Reasons for delay \* :

Total No. of Demat Requests	No of Requests	No of Shares	Reasons for delay
Confirmed after 21 days	Nil	Nil	N.A.
Pending for more than 21 days	Nil	Nil	N.A.

23. Name, Telephone & Fax no. of the Compliance Officer of the Company : Mr. Nikhil Malpani (A20869)  
Company Secretary and Compliance Officer  
Tel : +91 22 50243000  
Fax: +91 22 50243010

24. Name, Address, Tel, and Fax no. Regn. No. of the Auditor : K.G. SARAF  
SARAF & ASSOCIATES  
Practising Company Secretaries  
423,Hind Rajasthan Bldg.  
95, D.S.P. Road, Dadar (East),  
Mumbai – 400014.  
Tel.No.24130371/24153887  
Membership No : F1596 : CP No.642.

## RECONCILIATION OF SHARE CAPITAL AUDIT

25. Appointment of Common Agency for Share Registry Work : LINK INTIME INDIA PVT. LTD  
C-101, 247 Park,  
L.B.S. Marg, Vikhroli (W),  
Mumbai – 400083.

26. Any other details that the Auditor may like to provide (e.g. BIFR Company, delisting from SE, Company changed its name etc.) :

**Place : Mumbai**

**Date : 21/10/2022**

**UDIN : F001596D001332081**

**For SARAF & ASSOCIATES**

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GANAPAYYA  
SARAF

Digitally signed by KAMALAX  
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Date: 2022.10.21 15:13:40  
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**K.G. SARAF**

**Practising Company Secretary**

**FCS: 1596 CP: 642**

**FRN. S1988MH004800**

**PR. 1003/2020**