

### General information about company

Scrip code	500672
NSE Symbol	
MSEI Symbol	
ISIN	INE234A01025
Name of the company	Novartis India Limited
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	30-06-2023
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)
Whether the listed entity is Public Sector Undertaking (PSU)?	No

### Declaration

Sr. No.	Particular	Yes/No	Promoter and Promoter Group	Public shareholder	Non Promoter- Non Public
1	Whether the Listed Entity has issued any partly paid up shares?	No	No	No	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No	No	No	No
3	Whether the Listed Entity has issued any Warrants ?	No	No	No	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No	No	No	No
5	Whether the Listed Entity has any shares in locked-in?	No	No	No	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No	No		
7	Whether company has equity shares with differential voting rights?	No	No	No	No
8	Whether the listed entity has any significant beneficial owner?	No			

**Table VI - Statement showing foreign ownership limits**

<b>Particular</b>	<b>Approved limits (%)</b>	<b>Limits utilized (%)</b>
As on shareholding date	74	71.18
As on the end of previous 1st quarter	74	71.08
As on the end of previous 2nd quarter	74	71.12
As on the end of previous 3rd quarter	74	71.11
As on the end of previous 4th quarter	74	71.11

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+ (V)+ (VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	1	17450680			17450680	70.68	17450680		17450680	70.68
(B)	Public	43342	7240117			7240117	29.32	7240117		7240117	29.32
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	43343	24690797			24690797	100	24690797		24690797	100

**Table I - Summary Statement holding of specified securities**

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
(A)	Promoter & Promoter Group				70.68					17450680			
(B)	Public				29.32					6927804	0	0	0
(C)	Non Promoter-Non Public												
(C1)	Shares underlying DRs												
(C2)	Shares held by Employee Trusts												
	Total				100					24378484	0	0	0

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(2)	Foreign										
(e)	Any Other (specify)	1	17450680			17450680	70.68	17450680		17450680	70.68
Sub-Total (A)(2)		1	17450680			17450680	70.68	17450680		17450680	70.68
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		1	17450680			17450680	70.68	17450680		17450680	70.68
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions (Domestic)										
(a)	Mutual Funds	6	828			828	0	828		828	0
(d)	Banks	15	2676			2676	0.01	2676		2676	0.01
(e)	Insurance Companies	1	181899			181899	0.74	181899		181899	0.74
(i)	NBFCs registered with RBI	2	2450			2450	0.01	2450		2450	0.01
Sub-Total (B)(1)		24	187853			187853	0.76	187853		187853	0.76
(2)	Institutions (Foreign)										
(d)	Foreign Portfolio Investors Category I	16	45361			45361	0.18	45361		45361	0.18
(e)	Foreign Portfolio Investors Category II	1	13525			13525	0.05	13525		13525	0.05
(g)	Any Other (specify)	2	98			98	0	98		98	0
Sub-Total (B)(2)		19	58984			58984	0.24	58984		58984	0.24
(3)	Central Government / State Government(s)										
(4)	Non-institutions										
(f)	Investor Education and Protection Fund (IEPF)	1	143767			143767	0.58	143767		143767	0.58
(g)	Resident Individuals holding nominal share capital up to Rs. 2 lakhs	41213	5258110			5258110	21.3	5258110		5258110	21.3
	Resident Individuals										

(h)	holding nominal share capital in excess of Rs. 2 lakhs	4	440892			440892	1.79	440892		440892	1.79
(i)	Non Resident Indians (NRIs)	704	232716			232716	0.94	232716		232716	0.94
(j)	Foreign Nationals	1	54			54	0	54		54	0
(l)	Bodies Corporate	245	542564			542564	2.2	542564		542564	2.2
(m)	Any Other (specify)	1131	375177			375177	1.52	375177		375177	1.52
Sub-Total (B)(4)		43299	6993280			6993280	28.32	6993280		6993280	28.32
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)+(B)(4)		43342	7240117			7240117	29.32	7240117		7240117	29.32
C											
Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder											
Total ( A+B+C2 )		43343	24690797			24690797	100	24690797		24690797	100
Total (A+B+C )		43343	24690797			24690797	100	24690797		24690797	100

**Table II - Statement showing shareholding pattern of the Promoter and Promoter Group**

Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities ( as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)	Sub-categorization of shares		
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)		Sub-category (i)	Sub-category (ii)	Sub-category (iii)
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
(1)	Indian											
(2)	Foreign											
(e)				70.68					17450680			
Sub-Total (A) (2)				70.68					17450680			
Total Shareholding of Promoter and Promoter Group (A)= (A)(1)+(A)(2)				70.68					17450680			
B	Table III - Statement showing shareholding pattern of the Public shareholder											
(1)	Institutions (Domestic)											
(a)				0					268	0	0	0
(d)				0.01					1957	0	0	0
(e)				0.74					181899	0	0	0
(i)				0.01					2450	0	0	0
Sub-Total (B) (1)				0.76					186574	0	0	0
(2)	Institutions (Foreign)											
(d)				0.18					45361	0	0	0
(e)				0.05					13525	0	0	0
(g)				0					98	0	0	0
Sub-Total (B) (2)				0.24					58984	0	0	0
(3)	Central Government / State Government(s)											
(4)	Non-institutions											
(f)				0.58					143767	0	0	0
(g)				21.3					4949779	0	0	0
(h)				1.79					440892	0	0	0
(i)				0.94					230063	0	0	0
(j)				0					54	0	0	0
(l)				2.2					542524	0	0	0
(m)				1.52					375167	0	0	0
Sub-Total (B)				28.32					6682246	0	0	0



(4)												
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)+(B)(4)				29.32					6927804	0	0	0
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder											
Total (A+B+C2)				100					24378484			
Total (A+B+C)				100					24378484			

**Any Other (specify)**

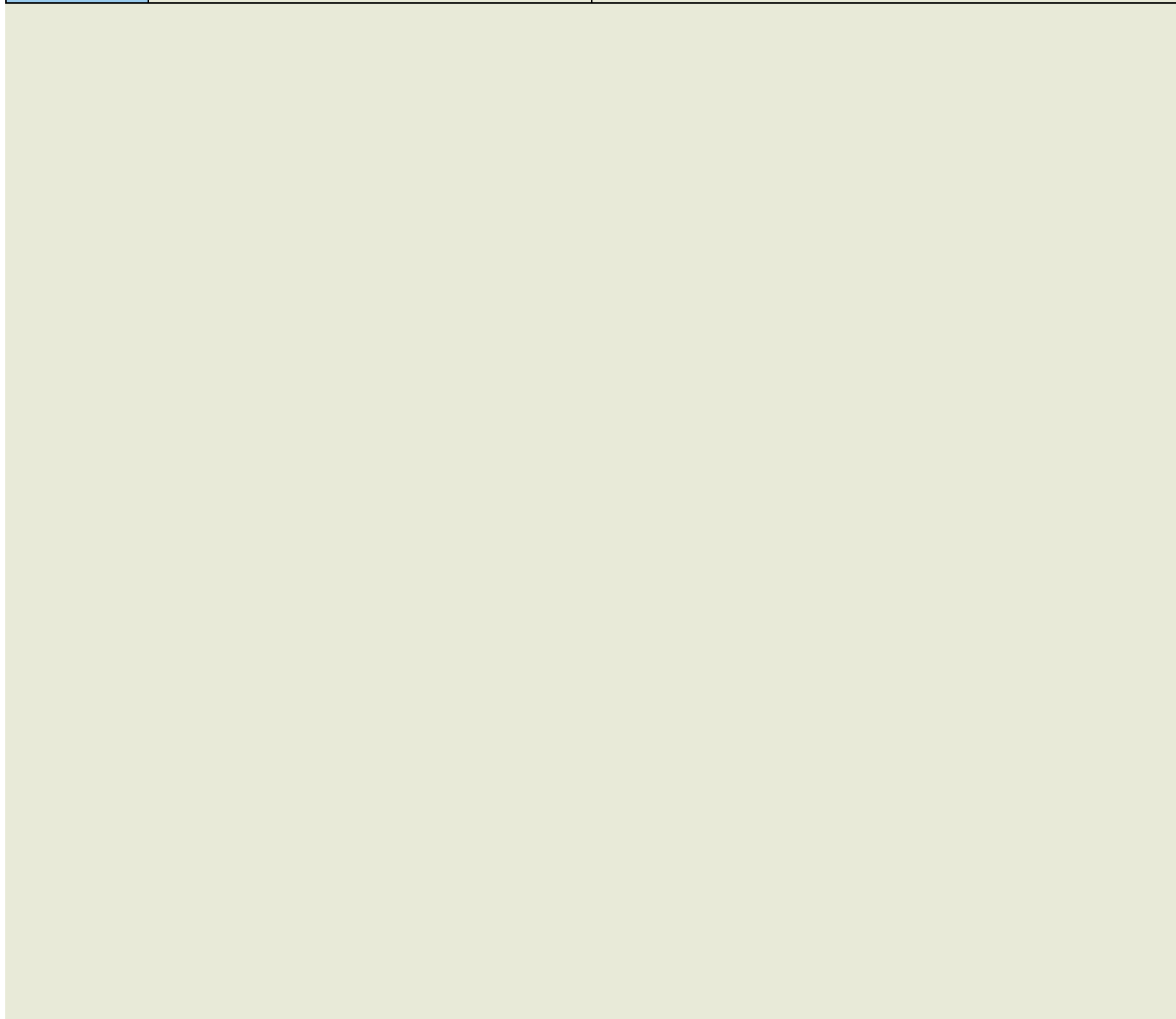
Serial No.	1	
Category	Bodies Corporate	
Name of the Shareholders (I)	Novartis AG	<a href="#">Click here to go back</a>
PAN (II)	AAACN4932F	Total
No. of the Shareholders (I)	1	1
No. of fully paid up equity shares held (IV)	17450680	17450680
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	17450680	17450680
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	70.68	70.68
<b>Number of Voting Rights held in each class of securities (IX)</b>		
Class eg: X	17450680	17450680
Class eg:y		
Total	17450680	17450680
Total as a % of Total Voting rights	70.68	70.68
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share	70.68	70.68

capital) (XI)= (VII)+(X) As a % of (A+B+C2)		
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		0
Number of Shares pledged or otherwise encumbered (XIII)		
No. (a)		
As a % of total Shares held (b)		0
Number of equity shares held in dematerialized form (XIV)	17450680	17450680
Reason for not providing PAN		
Reason for not providing PAN		
Shareholder type	Promoter	

## Bodies Corporate

Serial No.	1	
Name of the Shareholders (I)	Atul Limited	<a href="#">Click here to go back</a>
PAN (II)	AABCA2390M	Total
No. of fully paid up equity shares held (IV)	374627	374627
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	374627	374627
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.52	1.52
<b>Number of Voting Rights held in each class of securities (IX)</b>		
Class eg: X	374627	374627
Class eg:y		
Total	374627	374627
Total as a % of Total Voting rights	1.52	1.52
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.52	1.52
<b>Number of Locked in shares (XII)</b>		

No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	374627	374627
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0



**Any Other (specify)**

Serial No.	1	
Category	Other	
Category / More than 1 percentage	Category	<a href="#">Click here to go back</a>
Name of the Shareholders (I)	Foreign Institutional Investors	
PAN (II)		Total
No. of the Shareholders (I)	2	2
No. of fully paid up equity shares held (IV)	98	98
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	98	98
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0	0
<b>Number of Voting Rights held in each class of securities (IX)</b>		
Class eg: X	98	98
Class eg:y		
Total	98	98
Total as a % of Total Voting rights	0	0
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and Warrants (X)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of	0	0

diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)		
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	98	98
Reason for not providing PAN		
Reason for not providing PAN		
Sub-categorization of shares		
Shareholding (No. of shares) under		
Sub-category (i)	0	0
Sub-category (ii)	0	0
Sub-category (iii)	0	0

--	--	--

**Any Other (specify)**

Serial No.	1	2	3	4	
Category	Trusts	LLP	HUF	Clearing Members	
Category / More than 1 percentage	Category	Category	Category	Category	
Name of the Shareholders (I)					<a href="#">Click here to go back</a>
PAN (II)					Total
No. of the Shareholders (I)	4	16	1106	5	1131
No. of fully paid up equity shares held (IV)	1400	84262	286586	2929	375177
No. Of Partly paid-up equity shares held (V)					
No. Of shares underlying Depository Receipts (VI)					
Total nos. shares held (VII) = (IV)+ (V)+ (VI)	1400	84262	286586	2929	375177
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	0.01	0.34	1.16	0.01	1.52
<b>Number of Voting Rights held in each class of securities (IX)</b>					
Class eg: X	1400	84262	286586	2929	375177
Class eg:y					
Total	1400	84262	286586	2929	375177
Total as a % of Total Voting rights	0.01	0.34	1.16	0.01	1.52
No. Of Shares Underlying Outstanding convertible securities (X)					
No. of Shares Underlying Outstanding Warrants (Xi)					
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)					
Shareholding , as a % assuming full conversion of convertible securities (as a					



percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	0.01	0.34	1.16	0.01	1.52
Number of Locked in shares (XII)					
No. (a)					
As a % of total Shares held (b)					
Number of equity shares held in dematerialized form (XIV)	1400	84262	286576	2929	375167
Reason for not providing PAN					
Reason for not providing PAN					
Sub-categorization of shares					
Shareholding (No. of shares) under					
Sub-category (i)	0	0	0	0	0
Sub-category (ii)	0	0	0	0	0
Sub-category (iii)	0	0	0	0	0